

ANNUAL REPORT OF VIGILANCE WORK FOR THE YEAR—2010-11

ORGANISATION: **HINDUSTAN STEELWORKS CONSTRUCTION Ltd.**

Name of CVO: **Shri Shiv Raj Singh**
E-mail ID: **cvo@hscl.co.in**

PART-1

ORGANISATIONAL PROFILE

1. No. of employees **859**
 - a) Group A or equivalent and above **155**
 - b) Others **704**

2. Nature of important activities carried out: **Construction work in steel, Power & infrastuture sector etc.**

3. Nature of Public Dealing **Extensive/Moderate/Low/Indirect (-/)**

4. Vigilance set up
 - a) Whether the CVO is full time or part time : **Full time**
 - b) No. of Gazetted/ executive level in the vigilance wing: **04**
 - c) No. of other officials in the Vigilance wing : **03 Nos**

PART-II

ANNUAL PERFORMANCE FOR THE PERIOD TO BE GENERATED FROM THE DCM&MIS

5. **COMPLAINTS(Nos)**

Source	Opening Balance	Received During the year	Total	Disposed	Balance	Age wise Pendency (Months)			
						<1	1-3	3-6	>6
CVC	2	3	5	4	1				1
OTHERS	-	3	3	2	1				1

6. **ACTION ON THE CVC ADVICE (Nos)**

Stage of advice	Type of proceedings	Opening Balance	Received During the Year	Total	Disposed	Balance	Age wise pendency (Months)			
							<1	1-3	3-6	>6
I	Major	NIL	NIL	NIL						
	Minor	NIL	NIL	NIL	--	--		--		
II	Major	NIL	NIL	NIL						

7. **DEPARTMENTAL INQUIRIES (Nos)**

a) **UNDER THE CVC JURISDICTION**

Opening Balance	Received During the Year	Total	Disposed	Balance	Age wise Pendency (months)			
					< 6	6-12	12-18	>18
-	-	-	-	-				

b) **OTHERS(Nos)**

Opening Balance	Received during the year	Total	Disposed	Balance	Age wise Pendency (Months)			
					<6	6-12	12-18	>18
-	--	-	-	--	--			

8. **Details regarding request for prosecution sanctions received and disposed.**

Category	Opening balance	Received during the year	Total	Sanction granted	Sanction refused	Balance	Age wise Pendency (Months)		
							<3	.>*3	
Gr-A	-	-	-	-	-	-	-	-	-
Gr-B	-	-	-	-	-	-	-	-	-
Gr-C	-	-	-	-	-	-	-	-	-
Gr-D	-	-	-	-	-	-	-	-	-

- (details of cases pending for prosecution sanction beyond 3 months to be shown in annexure)

Sl.No.	Name & Designation of officer	Date of recommendation by Investigating Agency	Reason for pendency
	Nil	Nil	

9. AGEWISE DATA OF DISPOSED DEPARTMENTAL PROCEEDINGS (time taken to conclude the proceedings from the stage of registration of complaint till final disposal)(Nos)

Nature of Proceedings	< 3 Months	3-6 Months	6 Months-1 year	1-2 years	> 2years
Major					
Minor	-	-	-	-	-

- 10 QUALITATIVE PARAMETERS OF VIGILANCE ADMINISTRATION

- a) MAJOR PENALTY PROCEEDINGS (Grouping penalty wise)

No. of Cases	No. of officials Against whom Proceedings finalized	Cut in pension	Dismissal/ Removal/ Compulsory Retirement	Reduction to lower time Scale/Rank	Other Major penalties	Minor Penalties Other than censure/ Warning	Censure/ Warning	No Action
--	--	-	-	--	-	-	-	-

- b) MINOR PENALTY PROCEEDINGS (Grouping penalty wise)

No. of cases	No. of officials against whom proceedings finalized	Reduction to lower stage	Postpone ment/With holding of increment	Recovery from pay	With holding of promotion	Censure/Warn ing	No action
--	--	-	-	-	-	--	--

- c) RANK WISE BREAK UP OF PUNISHMENT AWARDED

Group	No. of cases	No. of officials against whom proceedings finalized	Cut in position	Dismissal/ Removal/ Compulsory Retirement	Reduction to lower time scale/in Rank	Other Major penalties	Minor penalties other than censure/ Warning	Censure	No Action
(1) Group C	--	--	-	-	-		--		
(2) Group B	-	-							-
(3) Group A up to DS/Dir. Level									
(4) JS and above									

Note: For Banks and PSUs corresponding row entries in (1),(2),(3) and (4) are explained in the end.

11. LIST OF CASES WHERE MAJOR PENALTY WAS IMPOSED

Jurisdiction	Sl.No.	Case No.	Name(s) of Charged Officer (S)	Date of issue of Chargesheet	Date of final order	Punishment	Remarks
CVC		NIL					
Others		Nil	--	--	--	--	

PART-III (TO BE PREPARED BY THE CVO)

12(a) INVESTIGATION REPORTS SUBMITTED BY THE CVO W.R.T.ALL COMPLAINTS/AUDIT REPORTS INVESTIGATIONS OF WORKS ETC.(Nos)

Upto the end of previous year	During the year	Action Recommended on the cases of the year* (Recommended for 'streamlining the procedures, monitoring and implementation of various manuals and code)			
		Major	Minor	Others	Closure
8	12	-	-	-	12

- This should include information provided on complaints in Col.5.

b) RANK WISE DETAILS ON ACTION RECOMMEND ABOVE

Rank	Action recommended (no. of officers)				
(1) Group C					
(2) Group B					
(3) Group A up to DS/Dir. Level					
(4) JS and above					
Total					

Note: For Banks and PSUs corresponding row entries in (1),(2),(3) and (4) are explained in the end.

13. INSPECTIONS CONDUCTED BY THE CVO (Nos) [CTE LIKE INSPECTIONS]

a) CONTRACTS AND MAJOR PURCHASES

Type	Up to the end of the previous year	During this year	No. resulting in Vig. cases	Recovery effected (in Rs.)
Periodic	10	8	-	
Surprise	7	6	-	
Major works	5	9	-	Preventive measures taken.

b) OTHER THAN CONTRACTS AND MAJOR PURCHASES

Type	Up to the end of the previous year	During this year	No. resulting in Vig. cases	Recovery effected (in Rs.)
Periodic	2	3	-	
Surprise	3	3	-	.preventive circular issued
Major works	-			

14. CASES IN WHICH COMMISSION ADVICE HAS NOT BEEN COMPLIED WITH

Name of officers	I.O's findings	CVC 2 nd stage advice	Final decision
-	-	-	-

15. ACTION TAKEN ON CTEs REPORT (IF ANY)

Names of work with date of inspection	No. of paras referred to CVO for action	No of paras in which action taken by CVOs	Paras settled by CTE	No. of paras referred for vigilance investigation with date	Paras pending for disposal	Recovery proposed by CTE	Recovery affected by deptt.
Remodeling &	29	3	10	3	16	--	

covering of madipur drain along with road No.29 of Rohtak to Nazafgarh drain in west Zone ,Delhi							
Construction of permanent ash pond for BTPS"B) & BTPS"A" of DVC at Bokaro Thermal power Plant at Bokaro	16	--	7	---	9	---	--

16. NATURE OF VIGILANCE ACTIVITY

Total no of Departmental Inquiries		No. of inquiries pertaining to core activities of the organization out of col.1		No. of inquiries pertaining to personnel matters out of col.1	
Major	Minor	Major	Minor	Major	Minor
-	-	-	-	-	-

17. ACTION ON APPOINTMENT OF CDIs as IOs

Opening balance of pending appointment	Nominations received during the year	No. of appointment orders issued	Closing Balance		
			<6 months	6months-1 year	>1 year

18. DETAILS OF MISCONDUCTS WHERE MAJOR PENALTY WAS IMPOSED

- a) In respect of officers coming within the jurisdiction of CVC
b) Others

Nature of misconduct	1 st stage advice of CVC	Findings of IO	2 nd stage advice of CVC	Nature of penalty imposed by disciplinary authority
-	-	-	-	-

This information is meant to see the consistency in nature of misconduct vis-a-vis penalty imposed by the DA.

PREVENTIVE VIGILANCE

19. SCRUTINY OF ANNUAL PROPERTY RETURNS

Total No. of APRs	No. scrutinized upto the end of the previous month	No. scrutinized during the month	Balance	Comments
161	161	---	---	No irregularity found. For 2011 yet to be received.

20. WHETHER FOLLOWING LIST PREPARED

- a) Agreed List :2010 finalized and 2011 is under preparation.
b) List of officers of Doubtful Integrity -do-

21. OTHER ACTIVITIES

- a) Training Courses conducted in vigilance awareness: **Yes (Training was imparted to Miss S. Bhattacharyya and Shri A. Kumar)**
- b) Systems Improvement undertaken: **Yes (Circulars no. CVO/2010/6/768 dated 3.12.2010, CVO/6/2010-638 Dt 27/9/10 , CVO/6/2010/600 dated 3.9.2010 and CVO/SI/6(F)/2010-367 Dt 22/2/10 issued)**
- c) Extent of IT usage and the e-governance: **E-payment system implemented in HSCL. Implementation of 2nd stage leveraging technology is in progress (Introduction of Video conferencing, increased use of emails and internet is in progress)**
- d) Job rotation: **Job rotation done is not as per CVC guidelines due to shortage of manpower.**
- e) Whether QPR has been furnished by CVO **[yes]**
- f) Whether CTE type inspections conducted by CVO **[Yes]**
- g) Amount of expenditure in gifts to public/Govt. servants in terms of CVC office order No. 60/9/04 dated 22.9.2004. **Nil**
22. a) Whether tender put up on web-site **[Yes/No]**
- b) Whether details of tender about threshold value are put on web-site subsequent to finalization of tenders **[yes/No]**
23. Has computerized file tracking system been introduced? **NOT FULLY**
24. No.of cases in which deptl. Action initiated/punishment awarded for causing delays.-**Nil**
25. Any systems designed to ensure that principal of first come first serve in dealings with public/others stake holders is followed.: **Being monitored by Unit Heads & Head (M&C)/HO also by hosting bill status statement & vendor registration on web site.**
26. No. of cases in which deptl. Action initiated/punishment awarded for violating sequential approach.: **NIL.**
27. Any steps taken to increase transparency in dealing with public/other stake holders.: **Hosting of tenders on website. I.P Act implemented in the organization and 2nd stage implementation of Leveraging Technology is under way and enhanced use of web site.**
28. Systems improvement recommended to reduce opportunities for corruption.: **Management Persuaded to enforce provisions of Work manual by all units of the organization. Formates of Vendor registration etc put on website. (Regular monitoring and reporting is being intimated upon)**
29. Remarks/comments on vigilance matters of the organization.: **ISO 9001-2008 certificate obtained, web site www.hscl.co.in web site of vigilance page revived and operative. Monthly meeting with CMD held regularly and minutes of meeting being sent to CVC. Vigilance awareness week from 25.10.2010 to 1.11.2010 under advisory guideline of CVC was observed (successfully on large scale) in HSCL. Detailed report with photographs is enclosed as Annexure-1.**

Kolkata

Date: 4.1.2011.

(Abhijit Ghosh)
Actg.Chief Vigilance Officer